



Description



INDIANA-SOS PRINCIPAL MANGER REGISTRATION

Who is required to register?

- Any individual involved in origination activities with a loan broker who oversees the day to day operations of a given location

Who does not need this registration?

- Any individual involved in origination activities with a loan broker who oversees the day to day operations of a given location that already employs a registered Principal Manager

Pre-requisites for registration applications?

- Minimum three (3) year experience required under [Ind. Code § 23-2-5-5\(c\)\(8\)](#)
- Successfully pass the required principal manager registration examination
- Complete 24-hours live of approved academic instruction or 6hrs of continuing education
- Obtain an FBI fingerprint card (FD258)

WHO TO CONTACT – Contact *Indiana Secretary of State, Securities Division* licensing staff by phone at 317-232-6681 or send your questions via e-mail to ejwise@sos.in.gov for additional assistance.

THE APPLICANT/REGISTRANT IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.