



## Surrender Jurisdiction-Specific Requirements



### INDIANA-SOS PRINCIPAL MANAGER REGISTRATION

#### Instructions

1. Licensee must notify the *Indiana Secretary of State* through the NMLS within 5 days of terminating business under this license.
2. The licensee must submit any documents required on the checklist below with this checklist within 5 business days of the electronic submission of your surrender through the NMLS at the following:

For U.S. Postal Service or Overnight Delivery:

*Indiana Secretary of State  
Securities Division  
302 W. Washington St.  
Room E-111  
Indianapolis, IN 46204*

NMLS Unique ID Number: \_\_\_\_\_

Licensee Legal Name: \_\_\_\_\_

ATTACHED	NOT APPLICABLE	ITEM
<input type="checkbox"/>	<input type="checkbox"/>	<b>LICENSE.</b> Enclose the original license as issued by the <i>Indiana Secretary of State</i> . If the license has been lost or misplaced, provide an attestation to that effect.

**WHO TO CONTACT** – Contact *Indiana Secretary of State, Securities Division* licensing staff by phone at 317-232-6681 or send your questions via e-mail to [ejwise@sos.in.gov](mailto:ejwise@sos.in.gov) for additional assistance.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.