



Surrender Checklist

Jurisdiction-Specific Requirements



INDIANA-SOS LOAN BROKER BRANCH REGISTRATION

Instructions

1. File the surrender request through the NMLS.
2. There is no fee to surrender.
3. Send nothing to Indiana-SOS for surrender. We may request additional information upon review of your surrender; watch your e-mail for such requests.

For U.S. Postal Service and Overnight Delivery:

*Indiana Secretary of State
Securities Division
302 W. Washington St.
Room E-111
Indianapolis, IN 46204*

WHO TO CONTACT – Contact *Indiana Secretary of State, Securities Division* licensing staff by phone at *317-232-6681* or send your questions via e-mail to *ejwise@sos.in.gov* for additional assistance.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.